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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/087,060	02/27/2002	Etsuro Saito	21778.05600

CONFIRMATION NO. 3243

FORMALITIES LETTER



\*OC000000007805118\*

Doyle B. Johnson  
Crosby, Heafey, Roach & May  
Suite 2000  
2 Embarcadero Center  
San Francisco, CA 94111

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 04/05/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

8

Application Number	10/087,060
Filing Date	February 27, 2002
First Named Inventor	Etsuro Saito; Yuichi Motomura
Group Art Unit	Not Known
Examiner Name	Not Known
Attorney Docket Number	21778.05600

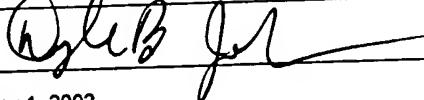
## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s), (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration And Power Of Attorney; Assignment Transmittal And Assignment; \$170.00 Check; Copy of Notice To File Missing Parts
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

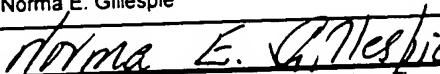
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name  
Doyle B. Johnson  
Crosby, Heafey, Roach & May

Signature

Date  
May 1, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name  
Norma E. GillespieSignature  
Date  
May 1, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

MAY 8 9 2002

PTO/SB/17 (11-00)

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# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 170

Complete if Known	
Application Number	10/087,060
Filing Date	February 27, 2002
First Named Inventor	Etsuro Saito; Yuichi Motomura
Examiner Name	Not Known
Group / Art Unit	Not Known
Attorney Docket No.	21778.05600

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	03-3821
Deposit Account Name	Crosby, Heafey, Roach & May

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

Fee Code	Large Entity		Small Entity		Fee Description	Fee Paid
	Fee (\$)	Fee Code	Fee (\$)	Fee Code		
105	130	205	65	65	Surcharge - late filing fee or oath	130
127	50	227	25	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	130	Non-English specification	
147	2,520	147	2,520	2,520	For filing a request for reexamination	
112	920*	112	920*	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	55	Extension for reply within first month	
116	390	216	195	195	Extension for reply within second month	
117	890	217	445	445	Extension for reply within third month	
118	1,390	218	695	695	Extension for reply within fourth month	
128	1,890	228	945	945	Extension for reply within fifth month	
119	310	219	155	155	Notice of Appeal	
120	310	220	155	155	Filing a brief in support of an appeal	
121	270	221	135	135	Request for oral hearing	
138	1,510	138	1,510	1,510	Petition to institute a public use proceeding	
140	110	240	55	55	Petition to revive - unavoidable	
141	1,240	241	620	620	Petition to revive - unintentional	
142	1,240	242	620	620	Utility issue fee (or reissue)	
143	440	243	220	220	Design issue fee	
144	600	244	300	300	Plant issue fee	
122	130	122	130	130	Petitions to the Commissioner	
123	130	123	130	130	Petitions related to provisional applications	
126	180	126	180	180	Submission of Information Disclosure Stmt	
581	40	581	40	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	355	Request for Continued Examination (RCE)	
169	900	169	900	900	Request for expedited examination of a design application	

## FEE CALCULATION

1. BASIC FILING FEE			
Large Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75

## SUBTOTAL (1) (\$ 0

## 2. EXTRA CLAIM FEES

Total Claims	-20**	= 0	Extra Claims	Fee from below	Fee Paid
Independent Claims	-3**	= 0	X	= 0	
Multiple Dependent			X	= 0	

Large Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

## SUBTOTAL (2) (\$ 0

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

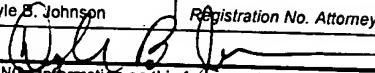
SUBTOTAL (3)

(\$ 170

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Doyle B. Johnson	Registration No. Attorney/Agent)	39,240	Telephone	415-659-5969
Signature				Date	May 1, 2002

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108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

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Independent Claims	-3**	=	0	X	0
Multiple Dependent		X	0	X	0

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103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

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Application Number					10/087,060
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Group / Art Unit					Not Known
Attorney Docket No.					21778.05600

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Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

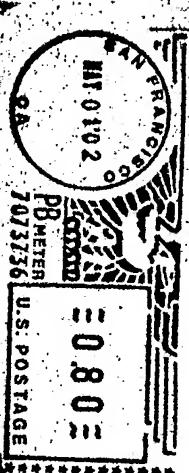
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Complete (if applicable)					
Name (Print/Type)	Doyle B. Johnson	Registration No. Attorney/Agent)	39,240	Telephone	415-659-5969
Signature	<i>Doyle B. Johnson</i>			Date	May 1, 2002

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SAN FRANCISCO, CALIFORNIA 94120-7936

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Washington, DC 20231